



# Progress of Superpave


(Superior Performing Asphalt Pavement)

EVALUATION AND  
IMPLEMENTATION

Robert N. Jester,  
editor



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# Foreword

The Symposium on Progress of Superpave (Superior Performing Asphalt Pavement): Evaluation and Implementation was held in New Orleans, Louisiana, on 10 December 1996. Committee D4 on Road and Paving Materials sponsored the symposium. Robert N. Jester, Federal Highway Administration, presided as symposium chairman and is editor of this publication.

# Contents

<b>Overview</b>	vii
<b>Oregon's Superpave Implementation—R. B. LEAHY, S. B. CRAMER, AND G. O. THOMPSON</b>	1
<b>Arizona's SHRP Experience—G. B. WAY</b>	13
<b>Effect of Crumb Rubber Particle Size and Concentration on Performance Grading of Rubber Modified Asphalt Binders—A. A. TAYEBALI, B. VYAS, AND G. A. MALPASS</b>	30
<b>Colorado Begins Superpave Validation—T. ASCHENBRENER, R. LAFORCE, AND S. SHULER</b>	48
<b>Field and Laboratory Evaluation of Superpave Level One Mix Design in California—S. R. SHATNAWI AND F. LANCASTER</b>	64
<b>Evaluation of Superpave Binder Specification with Performance of Polymer- Modified Asphalt Pavements—R. DONGRÉ, J. W. BUTTON, R. Q. KLUTTZ, AND D. A. ANDERSON</b>	80
<b>Designing Recycled Hot Mix Asphalt Mixtures Using Superpave Technology—P. KANDHAL AND K. Y. FOO</b>	101
<b>An Evaluation of the Suitability of the Superpave System to the Design of Limestone Rock Asphalt (LRA) Mixes—N. M. JACKSON AND E. L. DUKATZ</b>	118
<b>Comparison Between the Aging Processes for Asphalt Mixtures and Those for Asphalt Binders—M. G. LEE, M. TIA, B. E. RUTH, AND G. C. PAGE</b>	126
<b>SHRP PG Classification and Evaluation of Inservice Asphalts after Eight Years—K. A. GALAL AND T. D. WHITE</b>	135
<b>Evaluation of Long-Term Oven Aging of Asphalt Mixtures (AASHTO PP2-95) on Superpave Thermal Cracking Performance Predictions— P. ROMERO AND R. TOQUE</b>	151
<b>Critical Evaluation of the Superpave Performance Prediction Model for Permanent Deformation and Supporting Theory—X. ZHANG, A. J. KRIECH, G. A. HUBER</b>	169
<b>Construction Considerations in the Field Application of the Superpave Mix Design System—A. S. NOURELDIN</b>	186
<b>Determination of Key Gyratory Compaction Points for Superpave Mix Design in Arizona—D. A. FORSTIE AND D. K. CORUM</b>	207

# Overview

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A major result of the research conducted under the Strategic Highway Research Program (SHRP) from 1987 to 1993 was the development of the Superpave (*Superior Performing Asphalt Pavements*) system for the comprehensive design of asphalt pavements. One-third of the \$150 million SHRP research funds were used for the development of performance based asphalt specifications to directly relate laboratory analysis with field performance. The three major components of Superpave are the asphalt binder specification, the mixture design and analysis system, and a computer software system. Included in this performance-based design system is the selection of a performance grade of asphalt binder plus mixture design and analysis to facilitate the selection and combination of binder, aggregate, and, where necessary, modifier to achieve a required level of pavement performance based on traffic, environment (climate), pavement structure, and reliability [1,2].

Superpave focuses on three types of pavement distress—permanent deformation and fatigue cracking (considered to be load related distress), and low temperature cracking (nonload related distress). Materials selection and mix design also consider the effects of aging and moisture sensitivity on the development of these types of distress [3]. The objective of the Superpave mix design system is to define an economical blend of asphalt binder and aggregate that results in a paving mixture having sufficient asphalt for durability, sufficient air voids and voids in the mineral aggregate, sufficient workability, and satisfactory performance characteristics over the service life of the pavement [4].

Because of deficiencies in the current system for specifying asphalts, the Superpave binder specification was developed utilizing a new set of test equipment and procedures. It is called a “binder” specification because it is intended for modified as well as unmodified asphalts. The selection of a performance grade (PG) is based on the average seven-day maximum pavement design temperature and the minimum pavement design temperature. A unique feature of the Superpave specification is that the specified criteria remain constant, but the temperature at which the criteria must be met changes for the various PG grades. The tests are performed at temperatures that are encountered by in-service pavements. The test equipment and the purpose of each test is as follows:

<u>Equipment</u>	<u>Purpose</u>
Rolling Thin Film Oven (RTFO)	simulate binder aging (hardening) characteristics
Pressure Aging Vessel (PAV)	
Dynamic Shear Rheometer (DSR)	
	measure binder properties at high and intermediate temperatures (performed on original binder, RTFO-aged binder and PAV-aged binder)
Rotational Viscometer	measure binder properties at high temperatures (performed on original binder)
Bending Beam Rheometer (BBR)	measure binder properties at low temperatures (performed on PAV-aged binder)
Direct Tension Tester (DTT)	

An important aspect of the Superpave binder specification is its reliance on testing asphalt binders in conditions that simulate the three critical stages during the binder’s life. Tests

performed on the original binder represent the first stage of transport, storage, and handling. Aging of the binder in the rolling thin film oven is used to simulate the effects during mix production and construction. The pressure aging vessel, which follows the rolling thin film oven procedure, is used to simulate years of in-service binder aging in a pavement [5,6].

The Superpave mix design system is based on the volumetric proportioning of asphalt and aggregate materials, and laboratory compaction of trial mixes using the Superpave gyratory compactor. The Superpave gyratory compactor was developed by SHRP researchers to provide a device that could realistically compact mix specimens to densities achieved under actual pavement climate and loading conditions, that is capable of accommodating large aggregates, and could be used as a tool in identifying potential tender mix behavior and other problems associated with compaction. Specimens fabricated with the gyratory compactor are used to determine the volumetric properties (air voids, voids in the mineral aggregate, and voids filled with asphalt) of Superpave mixes. Since the kneading action of the gyratory simulates compaction during construction and subsequent traffic loading better than other methods of laboratory compaction, the Superpave gyratory compactor provides specimens that have been found to be more representative of actual in-service pavements. The design level of compaction for laboratory specimens is based on the environmental conditions and traffic levels expected at the project site. The gyratory compactor, a transportable device, is also suited for quality control/quality assurance since it can be set up at the job site and used to verify that the mixture produced in the plant meets the specifications for the volumetric properties [7,8].

Originally, Superpave included three levels of mixture design, designated as Level 1, Level 2, and Level 3, based on traffic loads in terms of Equivalent Single Axle Loads (ESALs) as described:

Level 1	ESALs<10*	Volumetric Design
Level 2	10*<ESALs<10'	Volumetric Design plus Performance Prediction Tests
Level 3	ESALs>10'	Volumetric Design plus Enhanced Performance Prediction Tests

Two devices, the Superpave Shear Tester (SST) and the Indirect Tensile Tester (IDT) were developed to conduct performance based tests used in Level 2 and 3 mix designs. Output from these tests is used as input to performance prediction models in Superpave that estimate actual pavement performance. Indirect tension tests at low temperatures are performed to determine properties required to predict thermal cracking, while shear tests on the SST and indirect tension tests at intermediate temperatures are performed to determine properties required to predict fatigue cracking and permanent deformation. Level 3 involves a considerable amount of sophisticated testing performed on the SST, which can include uniaxial strain test, volumetric test, simple shear test at constant height, frequency sweep test at constant height, and repeated shear test at constant stress ratio, and on the IDT, which can include indirect tensile strength (at intermediate temperature for fatigue cracking), indirect tensile creep (for low temperature cracking) and indirect tensile strength (for low temperature cracking). These tests are typically performed at three temperatures, and a total of 144 tests are required for a full Level 3 mix design with the design mix evaluated at three different binder contents. Only 66 tests are required for a full Level 2 design since testing is performed at fewer temperature levels. The basic philosophical difference is that a Level 3 analysis simulates the actual seasons of the year, while a Level 2 analysis estimates this annual damage by calculating the performance based on precalculated effective tempera-

tures for both permanent deformation and fatigue cracking. The assumption is made with Level 2 that the same amount of pavement distress will occur from 12 months at the effective temperature as in one actual year with individual seasons [3,7,9].

The terminology has recently changed such that Level 1 is considered to be the Superpave volumetric mix design procedures while Levels 2 and 3 are considered to be mix analysis procedures rather than mix design procedures, which are used to predict how well a mix will perform in the field. The Superpave volumetric mix design procedures are used for all Superpave mixes and play a key role in Superpave mix design. The procedures include selecting binders and aggregates that meet the Superpave criteria and using the Superpave gyratory compactor to fabricate test specimens for determining volumetric properties of the mix. The mix analysis procedures provide important additional information for pavements in critical locations that are subjected to high traffic volumes and loads. For these procedures, the test results from the SST and IDT are entered into software models that predict how many ESALs the pavement can carry, or how much time will elapse, before a certain level of rutting, fatigue cracking or low temperature cracking develops [8,10]. Using these models, the combined effect of asphalt binders, aggregates, and mixture proportions can be estimated. The models take into account the structure, condition and properties of the existing pavement (if applicable), and the amount of traffic to which the proposed mixture will be subjected over its performance life. The output of the models is millimeters of rutting, percent area of fatigue cracking, and spacing (in meters) of low temperature cracks. By using this approach, the Superpave system joins material properties with pavement structural properties to predict actual pavement performance. If performance levels are determined to be unacceptable, the mixture design may be altered until performance requirements are met [7].

Work is continuing on the evaluation and improvement of Superpave with the ultimate objective of full nationwide implementation. Under an FHWA contract, the University of Maryland was awarded a project to evaluate, validate, and refine the Superpave software and pavement performance models that form the core of the Superpave mix analysis and performance prediction procedures. The objectives of the project are to manage the Superpave performance models, including enhancement of the mix design procedures and development of user guidelines; to provide State highway agencies with the support and assistance necessary to fully begin applying and managing the Superpave system; and to improve all aspects of the Superpave system, with emphasis on evaluation and further development of the pavement performance prediction models. Development of Version 2.0 of the software, a Windows-based version which allows users to design asphalt mixes in conformance with the Superpave volumetric mix design procedures, is currently underway. The ability to accurately predict pavement performance in terms of permanent deformation, fatigue cracking, and thermal cracking is considered to be the only mechanism by which rational binder specifications, fundamental mix design procedures, economic justification for modified asphalt binders, improved structural design, and realistic performance-based quality specifications can be developed [11]. This will be a valuable tool in the implementation of Superpave.

As part of the ongoing long-term pavement performance studies, FHWA is coordinating the Specific Pavement Studies Experiment 9 (SPS-9) to validate the Superpave specifications and procedures. SPS-9A will focus on the binder specifications and mix design system, and SPS-9B will focus on pavement structural factors and the reliability of the performance prediction procedures. The SPS-9B study will be coordinated with the Superpave models work being performed under the University of Maryland project [12]. Additional experiments are being conducted at WesTrack, a test facility located 100 km southeast of Reno, Nevada, that was built and is being operated by a consortium of seven organizations. One of



the objectives of WesTrack is to validate the performance prediction models included in the Superpave mix design and analysis procedures [13].

The American Association of State Highway and Transportation Officials has published provisional standards for the Superpave binder and mix test procedures, which allows engineers and technicians to work from a common set of procedures and guidelines for the Superpave tests [8]. All States now have the equipment required to test binders for conformance with the Superpave PG binder specification and to fabricate specimens that can be used to determine how well a mix will perform in real-world conditions [10].

The five regional User-Producer Groups (North East, North Central, South East, Rocky Mountain, and Pacific Coast) will be a key component in the implementation of the Superpave specifications. Made up of highway agencies and companies that use and produce asphalt binders, the user-producer groups are developing strategies for adopting the Superpave system on a regional basis. Five Superpave Regional Centers have also been established at Pennsylvania State University, Purdue University, Auburn University, University of Nevada-Reno, and University of Texas at Austin. These centers are conducting ruggedness testing for the SST and IDT as well as providing Superpave training on a regional basis, providing problem solving expertise on Superpave technology, and supporting implementation of Superpave by State DOTs and industry in their respective regions [8].

The goal is to have the Superpave binder specifications implemented nationwide by 1997 and the Superpave volumetric mix design procedures, using the Superpave gyratory compactor, implemented by the year 2000. Many States have already constructed Superpave pavements utilizing both the binder specification and the volumetric mix design procedures [10]. Before the new specifications, tests and procedures of the Superpave system are adopted as nationwide standards by specifying agencies and industry, a period of trial application and evaluation, and incremental implementation will occur.

The papers in this STP focus on experience to date on the use and applicability of the Superpave system in the design and analysis of binders and asphalt pavements, including both field and laboratory evaluations. Topics covered include:

- (1) An evaluation of PBA asphalt grades in terms of SHRP protocols as a first step in Superpave specification implementation in Oregon.
- (2) The use of SHRP technology for binders and mix design in the evaluation of five test projects in Arizona.
- (3) A laboratory study to determine the applicability of testing using the dynamic shear rheometer to crumb rubber modified asphalt binders and the effect of crumb rubber particle size and concentration on the higher temperature performance grading of rubber modified asphalt binders.
- (4) The use of Superpave technology in the mix design for a high-traffic volume intersection near Denver, Colorado.
- (5) Field and laboratory evaluation of a test section constructed in California to compare the performance of selected Superpave and Hveem mix designs, to assess the performance of three PG binders, and to relate field performance to the results of repetitive simple shear tests at constant height (RSST-CH).
- (6) A study to validate the Superpave binder specification for polymer modified asphalts utilizing test sections constructed in Texas in 1986 containing five different modifiers.
- (7) Procedures for selecting the performance grade of virgin asphalt binder under the Superpave PG grading system for use in recycled hot mix asphalt.
- (8) An evaluation of the suitability of the Superpave volumetric mix design procedures for a specific type of cold mix.

- (9) Comparison of the effects of Short-Term Oven Aging and Long-Term Oven Aging on mixtures to the effects of the Rolling Thin Film Oven Test and Pressure Aging Vessel on binders by means of SHRP laboratory tests on recovered and original binders.
- (10) A study of pavements constructed in Indiana in 1985 utilizing original binders and mix samples retained at the time of construction plus cores taken after 7 to 8 years of service to compare Superpave PG binder test criteria to actual changes in the properties of the in-service binders.
- (11) A study to determine the effects of long-term oven aging of asphalt mixtures on the thermal cracking performance evaluation of mixtures using the Superpave indirect tension test.
- (12) A laboratory study to evaluate the Superpave performance prediction models for permanent deformation utilizing three unmodified asphalt binders, one Superpave level 1 mix design, and two aging procedures.
- (13) The use of data obtained from the Superpave mix design process for predicting loss or gain in pavement performance life resulting from deviations in asphalt content, aggregate gradation, and compaction during actual construction;
- (14) An evaluation of the level of compaction using the SHRP gyratory compactor needed to best simulate field density for various levels of traffic based on testing of mixes using materials from previously constructed Interstate pavements in Arizona.

The editor wishes to thank all those who participated in the symposium and who contributed to this STP. Special thanks to the reviewers of the papers, to ASTM Committee D4 for sponsoring the symposium, and to the ASTM staff for their assistance in preparing for this symposium and in the preparation of this STP.

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xii      OVERVIEW

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