

## **Balloting and Handling of Negative Votes**



## **General Overview of Different Levels of Balloting**





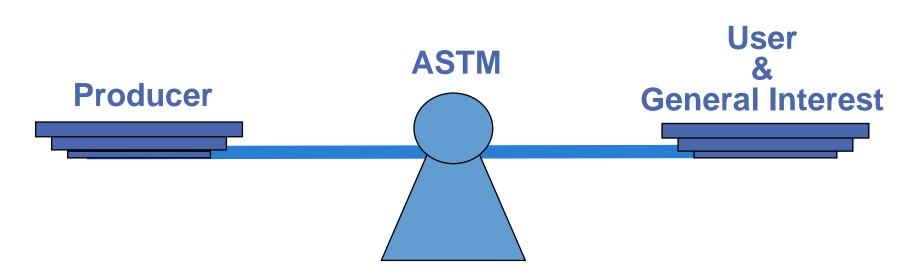


# **Balance and**

# Voting vs. Non-Voting



## **Balance of Interest**



Classified technical committees are required to be balanced. Voting producers cannot out weight the combined voting user and general interest.

# **Voting Rights: Voting vs. Non-Voting**



- 1 official vote per interest (company)
- > <u>All</u> are welcome to participate in technical discussions
- > <u>All</u> members receive a ballot and are eligible to vote on technical issues
- All negatives are considered the same way
- However, Non-official voting negatives are not included in the requirements for a valid ballot
- Voting members can lose their vote for failure to return ballots (Inactivity)



# **The Subcommittee Ballot**

# **Subcommittee Ballot**

- Purpose of Subcommittee Ballot
  - New StandardsRevisions
- See Section 11.1 of ASTM Regulations on Issuance of Subcommittee Ballot

Roster Maintenance 212
Negative & Comments 276
MyOutstanding Ballots 12
MyNext Meetings 19
MyWork Items
MyCollaboration Areas
Ballots & Work Items
Submit/Edit
Inactivity Reports
Launch Admin Collaboration Area
Meetings, Minutes & Agendas
Additional Resources

**MyTools** 



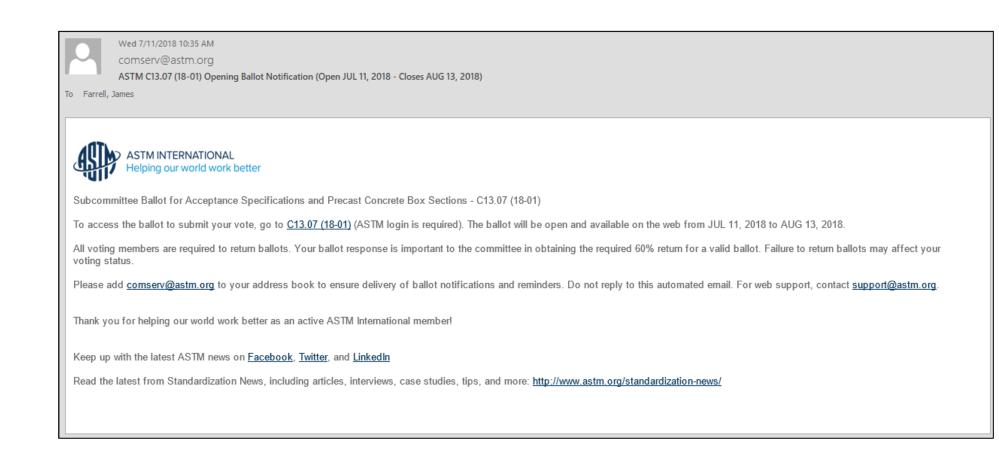


# **Subcommittee Ballot Qualifications**

- Authorization of Subcommittee Ballots
  - Sub chair
  - Motion at Meeting (simple majority)
- Ballot Open for Minimum of 30 Days.
- Qualifications for Valid Subcommittee Ballot 60% return and 2/3 affirmative



# **Sub Ballot Notification Email**





# **Viewing a Ballot**

### MyCommittees

Ballots	Rosters	Meetings &	Minutes &	Committee	Standards	
		Symposia	Agendas	Documents	Tracking	Indicates number of ballots that you need to
Comm	ittee E54	on Homelan	d Security Ap	plications		MyOutstanding Ballots 12
Ballots	Rosters	Meetings &	Minutes &	Committee	Standards	
		Symposia	Agendas	Documents	Tracking	E05 (22-04) closes Oct 01
						E05.22 (22-05) closes Oct 03
Comm	ittee F17 o	on Plastic Pip	ing Systems			E54 (22-05) closes Sep 12
Ballots	Rosters	Meetings &	Minutes &	Committee	Standards	E54 (22-06) closes Sep 14
		Symposia	Agendas	Documents	Tracking	E54 (22-07) closes Oct 03
						F17.10 (22-07) closes Sep 19
Comm	ittee F36	on Technolo	gy and Unde	rground Utilitie	es	F17.25 (22-02) closes Sep 26
Ballots	Rosters	Meetings &	Minutes &	Committee	Standards	F17.40 (22-04) closes Sep 26
		Symposia	Agendas	Documents	Tracking	F17.40 (22-05) closes Oct 03

### MyTools

F17.62 (22-03) closes Oct 03

#### ASTM Ballot F18.15 (21-01)

VIEW CLOSED BALLOTS/CLOSING REPORTS

Member#: 1802687

krobbins@astm.org

ASTM International

100 Barr Harbor Drive

Krista Robbins

Sub Committee: F18.15 Letter Ballot: F18.15 (21-01) Issue Date: Nov 24, 2021

Society Review:

RETURN TO MYBALLOTS

Closing Date: Dec 24, 2021 (11:59 pm Eastern)

West Conshohocken, Pennsylvania 19428

E-Mail: krobbins@astm.org

Staff Manager: Krista Robbins

Individual Documents: Click on the Item description on the ballot below.

All Documents: To download all supporting documents (ZIP file), click ALL DOCUMENTS (Need help with a ZIP file? Click here.)

About Voting: Expand

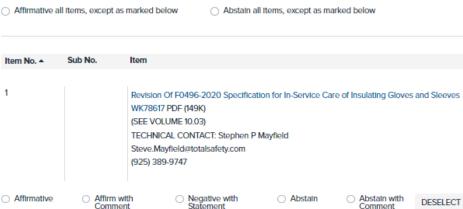
Note: Any negative vote shall be given consideration by the Subcommittee in accordance with ASTM Regulations. It is expected that negative votes will be considered in conjunction with the next meeting of the Committee, unless handled by ballot or if a Special subcommittee meeting is called. Negative voters may wish to confirm the exact date and time for discussion with the Staff Manager or on the posted meeting schedule on the ASTM website. For a list of all ASTM Committee meetings, click meetings.

For Troubleshooting and Technical Assistance, Click here.

Comment

SUBMIT BALLOT TO ASTM

SAVE AND RETURN LATER CLEAR





ltem No.	Sub No.	ltem	
1		Revision Of C1433-2016B Specification for Precast Reinforced Concrete Monolithic	ſ∏⊾
		Box Sections for Culverts, Storm Drains, and Sewers WK63960 PDF (232K)	ASI.
		(SEE VOLUME 04.05)	<u> </u>
		TECHNICAL CONTACT: George W Hodge	IV'
		wayne.hodge@rinkerpipe.com	
		(832) 590-5368	
Affirmative	Affirm with	Comment   Negative with Statement   Abstain   Abstain   Abstain   Abstain   DESELECT	
le estive udt	th Ctatanaa		
vegative wit	th Statement		
Vegatives an	e acted upor	n at a meeting or by ballot. If your negative is handled by ballot, only your written statement will be included. It is	
mportant to		mplete statement identifying the applicable section(s) of the ballot item, the reason(s) for your negative vote, and if	
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# **Subcommittee Ballot Results**

Ballot Results Summary

### Negatives and Comments are posted online within 24 hour

Closing Report includes links to all the comments and negatives after the ballot closes

# My ASTM

### MyCommittees

Comm	ttee E05	on Fire Stand	dards			Roster Maintenance 212
Ballots	Rosters	Meetings &	Minutes &	Committee	Standards	
		Symposia	Agendas	Documents	Tracking	Indicates number of ballots that you need to
Commi	ttee E54	on Homeland	d Security Ap	plications		MyOutstanding Ballots 12
Ballots	Rosters	Meetings &	Minutes &	Committee	Standards	
		Symposia	Agendas	Documents	Tracking	E05 (22-04) closes Oct 01
		on Plastic Pip				E54 (22-05) closes Sep 12 E54 (22-06) closes Sep 14
Ballots	Rosters	Meetings &	Minutes &	Committee	Standards	E54 (22-07) closes Oct 03
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Ballots	Rosters	Meetings &	Minutes &	Committee	Standards	F17.40 (22-04) closes Sep 26
		Symposia	Agendas	Documents	Tracking	F17.40 (22-05) closes Oct 03

MyTools







# **My Ballots**

## Committee F18 Ballots



You are an Officer or Technical Contact of the committee and/or subcommittees listed under the 'Ballot Queue' column, below. To access a listing of items that have been submitted for the next ballot, click on the ballot link.

Ballot Queue	Committee Description
F18	Electrical Protective Equipment for Workers
F18.15	Worker Personal Equipment

You are a member of the committee and/or subcommittees listed under the 'Ballot' column, below. To access a complete list of all open ballots, click on 'All Ballots', above.

To Vote: Select a ballot below. You can review the items within it and select options to approve, disapprove or abstain. Click here for information on saving, reviewing and submitting votes, as well as for reviewing closed ballots and the input received on them.

Ballot item documents are not ASTM standards, and shall not be reproduced or recirculated in whole or in part without written authorization from ASTM. Review ASTM's Working Document Caveat Here.



# **Closed Ballots/Closing Report**

### E05 Closed Ballots and Closing Reports

RETURN TO MYBALLOTS

To view the ballot items documents, click on the ballot designation link below. To view a Closing Report, click either Web View or PDF button.

Letter Ballot 🗢	Closing Report	Issue Date 🗢	Closing Report Posted -
E05.22 (22-04)	WEB VIEW PDF	July 29, 2022	August 30, 2022
E05.22 (22-02)	WEB VIEW PDF	July 21, 2022	August 23, 2022
E05.31 (22-01)	WEB VIEW PDF	July 20, 2022	August 23, 2022
E05.15 (22-01)	WEB VIEW PDF	July 19, 2022	August 22, 2022
E05.21 (22-03)	WEB VIEW PDF	July 19, 2022	August 22, 2022
E05.22 (22-01)	WEB VIEW PDF	July 19, 2022	August 22, 2022
E05.21 (22-02)	WEB VIEW PDF	April 28, 2022	June 01, 2022
E05 (22-03)	WEB VIEW PDF	April 19, 2022	May 23, 2022

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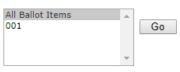
# **Closing Report**

#### MyASTM / Ballot Negatives and Comments

Support Desk | Return to List of Ballots | Return to MyCommittees

#### SUB COMMITTEE BALLOT C13.07 (17-01) CLOSING DATE: August 15, 2017

#### Filter Ballot Item(s) View:



To print or download individual negative votes and comments click the Item number. Scroll to the bottom of the page to download more than one item at a time. Click <u>here</u> to download all negative votes/comments(PDF file).

#### **Ballot Statistics :**

Committee	Sent	Returned	% Returned
C13.0700	40	31	77.50%

Click here for information on ballot items without negatives or those with comments only.

001       07       Revision Of C1786-2018 Specification for Segmental Precast Reinforced Concrete Box Sections for Culverts, Storm Drains, and Sewers Designed According to AASHTO LRFD         TECHNICAL CONTACT: Margarita Takou       Affirmative 22 Negative 1 Abstain 8 %Affirmative]95.65         WORK ITEM: WK59589       %Affirmative]95.65         NEGATIVE VOTERS: (all subcommittee member negatives must be considered)       Steve DelloRusso         COMMENTS:       Comments:	ITEM S	SUB	ACTION	
Margarita Takou WORK ITEM: WK59589 WEGATIVE VOTERS: (all subcommittee member negatives must be considered) Steve DelloRusso	001	07	Box Sections for Culverts, Storm Drains, and Sewers Designed According to	
Margarita Takou WORK ITEM: WK59589 MEGATIVE VOTERS: (all subcommittee member negatives must be considered) Steve DelloRusso				
considered) Steve DelloRusso	Margarita	a Takou	Affirmative 22 Negative 1 Abstain 8	
			TERS: (all subcommittee member negatives must be	
COMMENTS:	2	Steve I	DelloRusso	
COMMENTS:				
COMMENTS:				
James A Nystrom			) Nystrom	

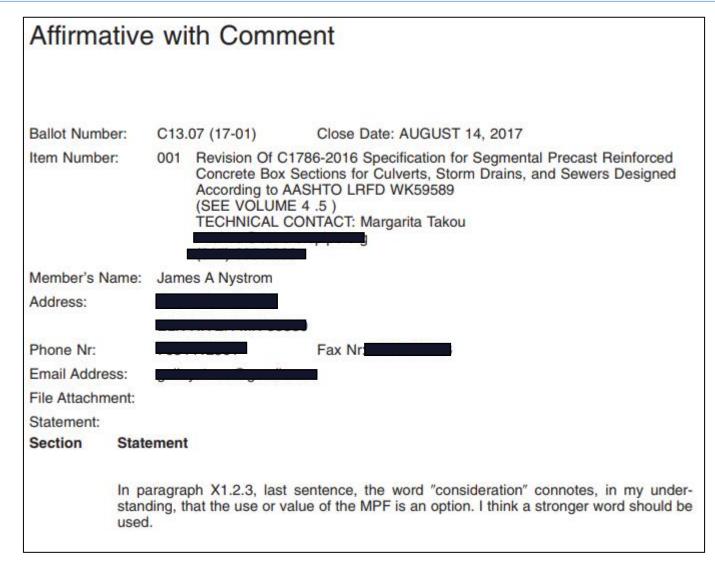


# Negative(s)

Ballot Number:	C13.07 (17-01) Close Date: AUGUST 14, 2017
Item Number:	<ul> <li>Revision Of C1786-2016 Specification for Segmental Precast Reinforced Concrete Box Sections for Culverts, Storm Drains, and Sewers Designed According to AASHTO LRFD WK59589 (SEE VOLUME 4 .5 )</li> <li>TECHNICAL CONTACT: Margarita Takou</li> </ul>
Member's Name	e: Steve DelloRusso
Address:	
	H Bayon Bit
Phone Nr:	Fax Nr:
Email Address:	
File Attachment	:
Statement:	
Section St	atement
In	the summary H<2 ft (with traffic perpendicular to span)
	ea of box section resisiting a truck WHEEL load (not axle)
	arification needs to be added that there are two wheels (one axle) per loaded lane and pending on the box geometry multiple loaded lanes (i.e. multiple wheels/axles) mus
	considered. The existing wording is too simplistic. Perhaps a figure is in needed.
	gardless the first sentance of X1.2.4 needs to be corrected to be perpendicular and no rallel



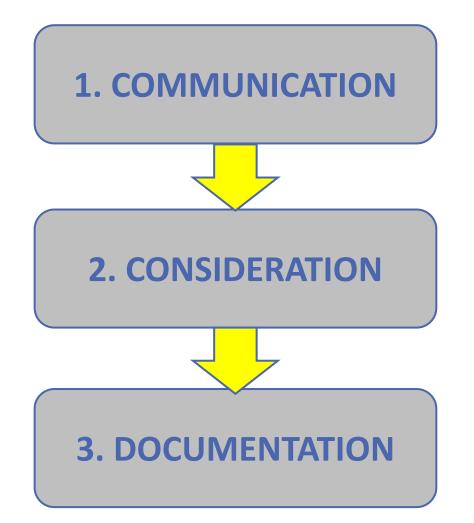
# **Affirmative with Comment(s)**



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# The Three-Step Approach to Handling Negative Votes







# **Five Resolutions of a Negative Vote**

- > Persuasive
- > Withdrawn
- Withdrawn with Editorial Change(s)
- Not Related
- > Not Persuasive



## Persuasive

General Agreement

> Lack of a "not persuasive" motion

A "not persuasive" motion fails (does not achieve a 2/3 affirmative)

Item is removed from ballot for further consideration



## Withdrawn

- > Withdrawn by the voter
- > May withdraw negative at any time
- Ballot item moves forward



Withdrawn by the voter/agreed as editorial

Editorial change introduces no change in technical content, but corrects typos or reduces ambiguity

If editorial changes are involved, please include for next level of balloting



- Motion/Second/Rationale
- > 2/3 affirmative vote at a meeting or via ballot
- Negatives that are determined Not Related <u>must</u> be addressed as items of new business



# The Main Committee Ballot



# **Initiation of Main Committee Ballots**

• Submittal Requirements:

For items that had Not Persuasive/ Not Related negatives on the subcommittee ballot, you must include the following:

Name and affiliation of negative voters
 Negative voter's statements
 Resolution, vote count, and rationale
 Numerical results of the subcommittee ballot

> Open for 30 Days

Simultaneous with Society Review



# **Concurrent Ballots**

- Balloting Subcommittee & Main Committee simultaneously
- Used for revisions, withdrawals, or new standards that have undergone at least one subcommittee ballot.
- >Note- Reapprovals are balloted at Main Only.
- ≻No sub-ballot required.
- Same requirements as Main Committee Ballot
- Simultaneous with Society Review
- Authorization

# **Qualifications for Valid Main Committee / Concurrent Ballots**



- 90% Affirmative of Combined Affirmative & Negative Votes
- >60% Return
- ≻Late Ballot Returns
- Closing Reports
- ➢ Final Ballot Results
- Disposition of Negatives
- >Affirmative Votes w/ Comments



## **Society Review**

>In Tandem with Main Committee Ballot

Notifications posted on ASTM Website

➢ Requirements

Disposition of All Negatives



# **Society Review**

Society (Public) Review Society (Public) Review of Main Committee Ballot Actions December 2021 Deadline for Receipt: Must be received before January 1, 2022	Society (Public) Review Society (Public) Review of Main Committee Ballot Actions December 2021 Deadline for Receipt: Must be Received Before January 1, 2022 The items listed are currently being balloted by the corresponding main committee and are submitted here for regions. To request particle of proposed new standards and registere of				
The Items listed are currently being balloted by the corresponding main committee and are submitted here for review. To request copies of proposed new standards and revisions of standards for review and voting purposes only, please email socrev@astm.org and provide the following information: <ul> <li>Your name</li> </ul>	• Four hame				
<ul> <li>Your member number</li> <li>The designation and title of the main committee of interest (e.g., A04 on Iron Castings)</li> </ul>	After receiving the items you've requested, if you would like to comment or vote negative you may submit additional correspondence to ASTM Headquarters. All comments and negatives submitted by Society members will be considered by the originating subcommittee and main committee.				
<ul> <li>The designation of the ballot (e.g., #00-01)</li> <li>The specific item(s) (e.g., item 22)</li> </ul>	<ul> <li>A04 (21-02)</li> <li>C17 (21-03)</li> <li>C18 (21-04)</li> <li>C24 (21-02)</li> <li>C27 (21-02)</li> <li>D01 (21-04)</li> <li>D02 (21-10)</li> <li>D04 (21-05)</li> <li>D07 (21-10)</li> <li>D08 (21-05)</li> </ul>				
After receiving the items you've requested, if you would like to comment or vote negative you may submit additi correspondence to ASTM Headquarters. All comments and negatives submitted by Society members will be considered by the originating subcommittee and main committee.	• D09 (21-05)       • D10 (21-04)       • D14 (21-05)       • D16 (21-08)       • D18 (21-07)         • D20 (21-08)       • D35 (21-07)       • E06 (21-04)       • E37 (21-06)       • E41 (21-03)				





- <u>Approval</u> Subchair or by motion at a meeting. For concurrent or main, subchair and main chair or motions at both meetings
- 2. <u>Process</u> –Work with your staff manager for the template and approach to documenting the response to the negative
- **3.** <u>**Requirements</u></u> Ballot captures official voters (similar to a meeting) but allows entire Subcommittee or Main Committee input</u>**

# **Template for Ballot Item**



The Regulations Governing ASTM Technical Committee Operations provide for actions on negative votes to be conducted either at a meeting or by ballot. This is a ballot to (find a negative not persuasive/not related or uphold the action of the subcommittee).

Before voting, please be clear as to what your vote means.

- <u>AFFIRMATIVE</u> Indicates that you SUPPORT THE ACTION in ruling the negative vote (not persuasive/not related) and that you DO NOT AGREE WITH THE NEGATIVE VOTER
- <u>NEGATIVE</u> Indicates that you DISAGREE in ruling the negative vote (not persuasive/not related) and that you AGREE WITH THE NEGATIVE VOTER. Negative votes do not include a written statement.
- <u>ABSTAIN</u> indicates that you do not wish to vote to agree or not agree with the task group in ruling the negative vote not persuasive/not related. It is preferable to abstain if you have no interest in the ballot item.

Members who do not have an official vote can view this action and can vote, but are not counted as part of the official tally

#### Background or summary on ballot history:



# **Committee on Standards (COS)**

- > 9 Member Group Representing ASTM Committees
- Due Process
- Appeals Based on Procedural Matters (NOT Technical)
- COS Ballot Procedures
- Final Approval



# **Summary of Balloting**

### Committee on **Standards**

**Society Review** 

Main Committee

Sub

Final Review and Approval of Procedures (9 Member Group)

**Society Review** NO % Return Required NO % Affirmative Required

Main Committee 60% Return Required 90% Affirmative Required

Subcommittee 60% Return Required 2/3 Affirmative Required

# Communication/Consideration/ Documentation



- <u>Communication</u> Meeting agendas, correspondence, direct contact between member & negative voter and other documentation
- 2. <u>Consideration</u> –Both subcommittee and main committee at a meeting or via ballot
- **3.** <u>Documentation</u> Online negative resolution tool, minutes, correspondence

# **My Tools: Negatives**



MyASTM / Membership / MyCommittees

### **MyCommittees**

Commi	ttee E05	on Fire Stand	dards			Roster Maintenance	12
Ballots	Rosters	Meetings & Symposia	Minutes & Agendas	Committee Documents	Standards Tracking	Negative & Comments	5 276
Commi	ttee E54	on Homeland	d Security Ap	plications		MyOutstanding Ballots	s <b>12</b>
Ballots	Rosters	Meetings & Symposia	Minutes & Agendas	Committee Documents	Standards Tracking	MyNext Meetings <sup>19</sup>	
Commi	ttee F17 o	on Plastic Pip	ing Systems			MyWork Items	
Ballots	Rosters	Meetings & Symposia	Minutes & Agendas	Committee Documents	Standards Tracking	MyCollaboration Area	5
Commi	ttee F36	on Technolog	gy and Under	ground Utilitie	25	Ballots & Work Items	
Ballots	Rosters	Meetings & Symposia	Minutes & Agendas	Committee Documents	Standards Tracking	Meetings, Minutes & A	gendas
		- •	-		-		

MyTools

Additional Resources

# **Negatives and Comments**



ITEM	SUB	ACTION					
<u>001</u>	02	Revision Of C0076-2018 Specification for Reinforced Concrete Culvert, Storm Drain, and Sewer Pipe					
	CAL CON	NTACT:					
Ryan Pe	lter	Main Sub					
		Affirmative 55 30					
WORK I	IEM: W	/K60751 Negative 2 1					
		Abstain 13 6					
		%Affirmative 96.49 96.77					
NEGAT	IVE VO	TERS: (all ASTM member negatives must be considered)					
New!	Timoth	<u>Enter Disposition</u>					
	<u>William</u>	n E Washabaugh Enter Disposition					
COMME New!	NTS: Tyson H	<u>I Hicks</u>					

# **Resolution Options**



Submit disposition Timothy J McGrath negative vote. Click here to view negative.

PERSUASIVE - (Any part of the negative vote was found persuasive; Item removed from ballot)

WITHDRAWN (Entire negative vote was withdrawn without editorial changes)

WITHDRAWN WITH EDITORIAL CHANGES (Entire negative vote was withdrawn with editorial changes) Clearly distinguish editorial changes from the ballot item using "track changes" or provide in a separate list format (attach below). Please do not provide a clean copy of the document. Submission may be made in separate document.

Choose File No file chosen

NOT PERSUASIVE (Entire negative vote was found not persuasive or there is a combination of not persuasive and not related or withdrawn dispositions)

NOT RELATED (Entire negative vote was found not related or there is a combination of not related and withdrawn dispositions)



# **Not Persuasive Ruling**

MAIN COMMITTEE BALLOT C13 (17-07) ITEM 001 - REVISION OF C0076-2016							
Submit Disposition of <b>Timothy J McGrath</b> negative vote. Click <u>here</u> ,to view Negative Vote.							
Subcommittee Meeting Date: Or Via Ballot - enter data as in MCS, e.g. F150109 Item - enter using three places, e.g. 001							
If there are multiple resolutions by ballot indicate the ballot item number in the ruling rationale.							
Ballot:   Item:       Ballot:   Item:							
		Subcommittee Vote Count		Main Committee Vote Count			
Ruling	Ruling Rationale	For Against	Abs	For	Against	Abs	
1 *Choose Ruling* V	* Enter Rationale * Edit						
Add Next Resolution							
Save and Return Later Submit Resolution Cancel							



# **Helpful Hints**

- Ballot controversial sections individually
- Use good judgment when balloting sections separately
- Provide clear and informative cover letter for ballot item(s)
- Try to contact the negative voter before the meeting



## **More Hints**

- > Address each portion of the negative vote
- Provide editorially revised document when resolving a negative vote as withdrawn with editorial changes
- Comments are intended for editorial issues or technical items of new business



## Resources

ASTM Regulations – https://www.astm.org/media/pdf/regs\_Regulations.pdf

ASTM Form and Style Manual – <u>https://www.astm.org/FormStyle\_for\_ASTM\_STDS.html</u>

ASTM Technical Committee Officer Handbook -<u>https://www.astm.org/media/pdf/Handbook\_5-11-20.pdf</u>



ASTM INTERNATIONAL Helping our world work better

# Thank you for your attention!

