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ASTM Style Manual
Books and journals, ACEM, JTE, MPC, SSMS
See separate guide for GTJ

ASTM publications will follow the editorial style according to the *Chicago Manual of Style* (CMOS), Seventeenth Edition, as published by the University of Chicago Press. Please use this guide to for the basic formatting and information that should be included in your manuscript. Please consult the CMOS for information pertaining to grammatical issues not included here.

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1. EDITORIAL STYLE

1.1 ASTM Committees
ASTM committees should be cited as ASTM Committee D02 on Petroleum Products and Lubricants. Subsequent references can be cited as Committee D02. No space or dashes should be included. The format is D02, C17, etc.

1.2 Abbreviations and Unit Symbols
- In the text, use unit symbols after numbers denoting a definite quantity. Example: "The tensile strength is 45,000 psi (310 MPa)."
- Use unit symbols and abbreviations in the singular only. Thus "fifty kilograms" will be "50 kg," not "50 kgs." Exceptions: Figs., Nos., Refs., Vols.
- Write out long words or phrase for which there is no standard abbreviation at first mention. Examples: below top dead center (btdc), relative centrifugal force (rcf).
- Abbreviations for names of societies, associations, government agencies, etc., may be used, provided the name is spelled out the first time it is used. Examples: ASTM International, TAPPI, NASA, ARPA.

1.3 Affiliations
Use superscript Arabic numerals to denote affiliations. Do not use professional titles, such as Director, Professor, Senior Scientist, etc. Include your professional affiliation (with department, if applicable), street address, city, state/province, postal code, and country. Use abbreviations for street names (Rd., Dr., Ave., Ln., Ct., Pkwy., Hwy.). Write out the country name with the exception of "USA" and "UK". Use the ISO format for country names, which is available in ScholarOne. If you are retired, you may use your former affiliations with (retired), or you may include only your city/state/country location. Do not include punctuation at the end of affiliations. Examples:

John Smith,1,2 Sarah Adams,2,3 Elizabeth Davis,4 and Edward Jones5
1Department of Mechanical Engineering, United States Naval Academy, SE 590 Holloway Rd., Annapolis, MD 21402, USA
2CanmetMATERIALS, 183 N. Longwood Rd., Hamilton, ON L8P 0A5, Canada; and ASTM International, 100 Barr Harbor Dr., West Conshohocken, PA 19428, USA
3NIST, 100 Bureau Dr., Stop 1070, Gaithersburg, MD 20899, USA
4BSI Group (retired), London, W4 4AL, UK
5Retired, West Chester, PA, USA

1.4 And/Or
Do not use this expression. For example, write "A or B, or both" or "A, B, or C, or combinations thereof."

1.5 Appendixes
Place Appendixes after the end of the paper but before the references. Figures in the Appendix should be numbered as figure A.1, A.2, etc. Tables in the Appendix should be numbered as Table A.1, A.2, etc. Likewise, multiple appendixes in a single paper should be labeled Appendix A, Appendix B, etc. Appendixes can have titles, but they are not required. If your appendix has a title, format it as “Appendix: Title” or “Appendix A: Title”.

1.6 Capitalization
- Use capitals sparingly, but when in doubt, capitalize.
- Use initial cap:
  o For "committee" where used in a title, as "Committee A01" or "Committee on Publications."
  o In such expressions as: Test 1, Specimen A, Cement B, Type 1, Class C, Grade B, etc.
  o For Society, Staff, and Headquarters when referring to ASTM International, its Staff, and its Headquarters.
- Use all caps in directions such as: "Turn the machine to OFF position" or "Turn the dial to TITRATE."
In headings and titles, capitalize all nouns, pronouns, verbs, adjectives, adverbs, and all other words of five or more letters. Do not use initial caps on prepositions, abbreviations, or the phrase "et al.,” or in the word "to" in the infinitive form of a verb.

1.7 Chemical Formulas and Symbols
Chemical formulas should be used freely in tables and figures (example: CaSO₄·2H₂O). In text in which chemical formulas are mentioned infrequently, spell out the names. Where they are mentioned frequently, spell out the name in the first reference to it, followed by the formula in parentheses. The formula alone may be used subsequently. Do not use chemical formulas for organic or complex inorganic compounds. Always spell out the word "water" and the name of the elements (use lead, not Pb). Isotopes may be written as carbon-14 or as 14C.

Spell out chemical compounds the first time they appear in the text and include the symbol in parentheses, e.g., hydrochloric acid (HCl); use only the symbol thereafter. Spell out individual chemical elements. In figures and tables, use symbols freely for compounds and elements.

1.8 Commas
Use serial commas in lists with three or more items: Test 1, Specimen A, Cement B, and Type 1.

1.9 Dates
Always write out the month.

1.10 Dictionaries
For spelling, punctuation, capitalization, and foreign words, use Merriam-Webster’s Collegiate Dictionary.

1.11 Figures
Figures, whether line drawings, photographs, or graphs, should be used to demonstrate some point or observation. It is the author's responsibility to provide original, reproducible figures of professional quality.

In-text references should appear as “figure 2A” in the text but “(figs. 2A and 2B)” in parentheses. Note the letter identifying the figure’s panel is uppercase and italicized. In figure captions, use “(A)”, “(B)”, etc., to note parts of a figure. Example: Figure 2 shows (A) typical placement of text samples, (B) test specimens, and (C) the covered box.

1.12 Footnotes
Do not use footnotes in figure captions. For footnotes in tables, use superscript lowercase, roman letters, starting with “a” for each table. The footnotes should appear below the table following the term "Note:”. Example:

Note: Mo = molybdenum; Al = aluminum; C = carbon; Cr = chromium; Cu = copper; Mn = manganese; N = nitrogen; Ni = nickel; P = phosphorus; S = sulfur; Si = silicon.
aStandard deviation of participants’ measurements.
bMean value of participants’ measurements.
cPart-to-part (between samples) standard deviation from homogeneity test.
dExtended (k = 2) uncertainty of reference value as reported by the German Federal Institute for Materials Research and Testing.

For all other footnotes appearing in the text of the paper, use the asterisk (*), cross (†), then double cross (‡).

1.13 Hyphens
- Hyphenate compound adjectives, such as: "50-mm gage," "low-alloy steel," and "cold-drawn wire."
- Use a hyphen after the first word in expressions like the following: "high- and low-temperature tests." But where numerals are involved, omit all but the last hyphen, as in "50, 100, and 150-mm specimens."
• Omit hyphens in such expressions as "3 % nickel alloy" or "3°C rise in temperature."
• Do not hyphenate chemical compounds and the words "stainless steel" and "cast iron."
• Do not hyphenate an adverb–adjective compound when the adverb ends with "ly."
• Spelled-out fractions used as nouns are not hyphenated (one third of the load); however, when used as adjectives, they are hyphenated (a one-third share).

1.14 Italicize

Italicize:
• All symbols for physical quantities that can have a numerical or physical value (quantity symbols).
• Chemistry—\(N\) (normal), \(M\) (molar), \(c\) (concentration). Do not italicize symbols for the elements (Fe, N, Na, etc.) Exception: italicize \(N\) for nitrogen when it is used to denote position, as in \(N\)-methylaniline. Italicize \(o\), \(m\), and \(p\) as ortho, meta, and para; for example, \(p\)-cresol. Italicize and abbreviate secondary and tertiary as \textit{sec} and \textit{tert}; for example, tert-butyl alcohol. Italicize \textit{iso} when used in isoctane.
• Foreign Words—Use \textit{Merriam-Webster's Collegiate Dictionary} as a guide to foreign words.

Do not italicize:
• Symbols representing units or labels; symbols for elements in the periodic table; and symbols for mathematical operators.
• Letters used to subdivide a categorical classification, such as Method A, Class C, Grade D, Sample F.
• Note: when the symbol \(\mu\) is used to denote a physical quantity (such as mass or reduced mass) it should be italic, but when it is used in a unit such as the microgram, \(\mu g\), or when it is used as the symbol for the muon, \(\mu\) (see 5 below), it should be roman.
• Mathematical functions: \(\log\) (lg, ln or lb), \(\exp\), \(\sin\), \(\cos\), \(\tan\), \(\text{erf}\), \(\text{div}\), \(\text{grad}\), \(\text{pH}\), d (for derivative)

1.15 Mathematical Material

Equations should be numbered throughout the text with the number appearing on the right of the equation in roman font. All equations and mathematical material should be formatted in MathType. The format for a numbered equation is:

\[ L_p = \frac{C_t}{R} \] (1)

where:
\(S\) = stress, psi or Pa,
\(I\) = second moment of area, in.\(^4\) or m.\(^4\).

Exp versus e—If the exponent is relatively short and on one line, without superscripts or subscripts, use e: \(e^{(a-b)c}\)
If it is relatively long or has superscripts or subscripts, use exp: \(\exp[x^2/2 - 1n(x/a)]\)

Fractions—Use the solidus (diagonal line) in the text, e.g., \(1/4\). Use the built-up fraction (with a horizontal line) in an equation. If you use a built-up fraction on one side of an equation, use it on the other side:

\[
\frac{dp}{dy} = \frac{k_z - fp}{b} \] (2)

Use parentheses liberally to clearly show the complete numerator or denominator. For example, does \(\log a/b\) mean \(\log (a/b)\) or \((\log a)/b\)? Use the parentheses to clarify. If you write \(a/b + c\) but mean \(a/(b + c)\), use parentheses.

For in-text callouts, use “equation (1)” or “equations (2)–(5)”. 
1.16 Nomenclature
if included, place the nomenclature after the keywords and before the Introduction.

1.17 Numerals and Numbering
- Use Arabic numbers. Spell out all numbers from one through nine, with the following exceptions:
  - Use numerals when the quantity is partly fractional, as: 1.15, 1½.
  - Use numerals when followed by an expression having a standard unit symbol, as: 5 mm, 9 %.
- Spell out the number for multiple-number expressions, e.g., fifteen 2-cm rods. Place a zero before a decimal point, e.g., 0.65. Use commas for numbers containing more than three digits, e.g., 12,365.
- If for any reason the standard abbreviation or unit symbol of the expression following the number is not used, or if the expression does not admit of abbreviation (as year, ton, etc.), the use of numerals is optional, unless covered in the following paragraphs:
  - In statements containing two or more numbers, one of which is greater than nine, as "2 tests and 16 weighings."
  - In a series of connected numerical statements implying precision, as "5 months, 3 days."
- Use numerals after abbreviations, as: Vol. 26, No. 2.
- Use numerals for all numbers ten and above, with the following exceptions:
  - Do not begin a sentence with a numeral. When the numeral is spelled out, also spell out the unit following, as "One gram is usually sufficient."
  - Spell out round numbers used in an indefinite sense, such as, "a hundred meters or so."
- Currency should be in US dollars (USD). If using a foreign currency, include the USD conversion in parentheses. Example: Commercially activated charcoal is available at Rs. 2720 (42.65 USD) per kg.

1.18 SI Units
SI units shall be included in all ASTM publications in accordance with the latest edition of SI-10.

1.19 Subheads
Subheads should not be numbered.

1.20 Tables
- Number each table with an Arabic numeral and give it a title that is complete and descriptive. Example: Microindentation hardness before and after EBH (note no terminal period in table title)
- In column headings, first include the quantity being tabulated, then a comma, then the units, for example: "Tensile Strength, min, psi."
- Footnotes—See info under Footnotes section.
- Use leaders (…) for cells with no data. Cells for which data are not available should be left blank.
- When two (or more) separate systems of units are both listed in one table (for example, inch-pound and SI units), provide SI units in separate columns or in parentheses or brackets.
- When it is impractical to include two or more units of measurement in the column heading because of the size and the number of tables, include the pertinent conversion factors as footnotes under each table instead of attempting to include the actual converted values within the tables.

1.21 Trademarks
Avoid the use of trademarks whenever possible. Use generic terms where available; the symbols ® and ™ should be omitted where possible.
2. REFERENCES

Preparing In-Text Citations

- Cite the references in the text in numeric order, using superscript numerals that are closed up to the text/punctuation: according to Smith⁶ and Davis et al.⁷
- References should be listed in the order of their citation at the end of the paper.
- If mentioning author names in the text, use “et al.” for four or more authors. Examples: Smith,¹ Smith and Jones,⁶ Smith, Jones, and Adams,¹¹ Richards et al.²⁴
- When quoted material is more than 5 lines of text, indent the entire block quote on the left-hand side.

Preparing the Reference List

- All references must contain complete information to allow a reader to find the cited materials and indexing services to include our publications in their indexes.
- Use complete last (family) names for authors, but use initials for first and middle names.
- Journal titles should be abbreviated without periods, example: J Test Eval
- Web references must contain the title of the site and the URL. Also include the author, the date the information on the site was written or posted, and any other information that will help the reader find the reference. (See “2.9 Using Websites as References/Archiving Websites” for instructions on web archiving.)
- To add DOIs to any reference type, insert a comma at the end of the reference instead of a period, and insert the DOI as “http://dx.doi.org/XXX” without a period at the end.

2.1 Book Reference
For book chapters:


For whole books:


2.2 Proceedings Reference, unpublished

Spectra in Three Irradiation Channels of the JSI TRIGA Reactor” (paper presentation, Sixteenth International Symposium on Reactor Dosimetry, Santa Fe, NM, May 7–12, 2017).

2.3 Journal References
Published:

Not yet published: Use “in review” or “in press” in place of the year for a journal article that is not yet published.

2.4 Patent Reference

2.5 Standards Reference
NOTE: In the e-publication, mentions of ASTM standards in the correct format (ASTM A252-XXXX) will be linked to the latest version of the standard in the ASTM Digital Library so the readers can view the Significance, Use, and Scope sections and purchase the standard if they wish. ASTM subscribers will be linked to the complete standard.
References to ASTM Work Items are never acceptable. The WKXXXX will be changed to a standard designation when or if the standard passes the balloting stage. If the standard is published the title may change and the temporary Work Item page will be removed from the ASTM website, making any reference invalid.

In the reference list, be sure to include the complete title of the standard (this will often start with Standard Specification, Standard Test, Standard Practice, Standard Test Method, etc. Example:


Citing Standards in Text: When referencing an ASTM or other SDO standard for the first time, include the standard number and title, with the title in italics. Example: “This was the case according to *ASTM A252-10 or ASTM A252, Standard Specification for Welded and Seamless Steel Pipe Piles.*” Subsequent references to the same standard would be “ASTM A252”.

If the year date is important, please include it (e.g., ASTM A252-10); the copyeditors will not research the latest year or include it if you have not done so. Note: If the dated standard that you included has been withdrawn or superseded, the copyeditor will note this in the text of the paper. Example: “As noted in ASTM A252 - 98(2007) (superseded), the test ...”

Standards are frequently updated so be sure to check the ASTM website to cite the latest version. For example:

a. ASTM A36/A36M-12
b. ASTM A3456-13a(2014)
c. ASTM A3-07a(2013)e1

2.6 Thesis Reference

2.7 Discussion

2.8 Translated Reference
There are three appropriate ways to format references originally published in a language other than English:

1. If the article can be easily found by searching its translated (English) title:

2. If the article cannot be easily found by searching its translated (English) title:

3. If the article does not have a translated English title but can be easily found using the title written in the original language:

2.9 Using Websites as References/Archiving Websites
Archiving websites enables researchers to view the website that authors viewed as it was on the day and at the time that they viewed it. This is especially helpful for mitigating the effects of “link rot”, or websites that have become permanently unavailable. All URLs that appear in the reference list should be archived on or as close to the day that the author viewed it.

If an author asks to archive a website, they should copy the URL, go to www.web.archive.org, and paste the URL into the box in the lower right corner that says “Save Page Now”. They will then receive a new URL that includes the archive (date of access) information; for example: http://web.archive.org/web/20161012191111/http://apps.npr.org/best-books-2015/. This is the URL that must be included in the reference list, along with the authors, webpage title, name of publisher or sponsoring organization, and publication/posting date.

For this website, the reference that would be included in the reference list is:
