Balloting & Handling Negative Votes

September 2019

Stephen Mawn and Jennifer Tursi

www.astm.org
General Overview of Different Levels of Balloting

The Committee on Standards (COS)

Main Committee Ballot / Society Review

Subcommittee Ballot
Balance and Voting vs. Non Voting Status
Balance of Interest

Classified technical committees are required to be balanced. Voting producers cannot out weight the combined voting user and general interest.
Voting Rights: Voting vs. Non-Voting

- 1 official vote per interest (company)
- All are welcome to participate in technical discussions
- All members receive a ballot and are eligible to vote on technical issues
- All negatives are considered the same way
The Subcommittee Ballot
Subcommittee Ballot

Purpose of Subcommittee Ballot

➢ New Standards
➢ Major Revisions

See Section 11.1 of ASTM Regulations on *Issuance of Subcommittee Ballot*
Subcommittee Ballot Qualifications

Authorization of Subcommittee Ballots

➢ Sub chairman
➢ Motion at Meeting

Ballot Open for Minimum of 30 Days

Qualifications for Valid Subcommittee Ballot – 60% return and 2/3 affirmative
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Helping our world work better

Subcommittee Ballot for Acceptance Specifications and Precast Concrete Box Sections - C13.07 (18-01)

To access the ballot to submit your vote, go to [C13.07 (18-01)](http://www.astm.org) (ASTM login is required). The ballot will be open and available on the web from JUL 11, 2018 to AUG 13, 2018.

All voting members are required to return ballots. Your ballot response is important to the committee in obtaining the required 60% return for a valid ballot. Failure to return ballots may affect your voting status.

Please add comserv@astm.org to your address book to ensure delivery of ballot notifications and reminders. Do not reply to this automated email. For web support, contact support@astm.org.

Thank you for helping our world work better as an active ASTM International member!

Keep up with the latest ASTM news on [Facebook](http://www.facebook.com), [Twitter](http://www.twitter.com), and [LinkedIn](http://www.linkedin.com)

Read the latest from Standardization News, including articles, interviews, case studies, tips, and more: [http://www.astm.org/standardization-news/](http://www.astm.org/standardization-news/)
Viewing a Ballot

MyCommittees

Committee B08 on Metallic and Inorganic Coatings

Committee C04 on Vitrified Clay Pipe

Committee C13 on Concrete Pipe

Ballots Minutes Rosters Meetings Agendas Committee Standards Documents Tracking

My Tools

Roster Maintenance 272
Negatives & Comments 40

My Outstanding Ballots

Committee B08 closes Jul 16
Committee C04 closes Aug 13
Committee C13 closes Jul 23
Committee C13.02 closes Aug 13
Committee C13.05 closes Aug 13
Committee C13.07 closes Aug 13

My Next Meetings 2

My Work Items

My Collaboration Areas

Ballots & Workitems

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www.astm.org
Member #: 1951828
Jimmy Farrell
jfarrell@astm.org
ASTM International
100 Barr Harbor Drive
West Conshohocken, PA 19428

Sub Committee: C13.07
Letter Ballot: C13.07 (18-01)
Issue Date: Jul 11, 2018
Closing Date: Aug 13, 2018 (11:59pm Eastern)
Society Review:
Staff Manager: Jimmy E. Farrell
E-Mail: jfarrell@astm.org

Individual Documents: Click on the Item description on the ballot below.

All Documents: To download all supporting documents (ZIP file), click ALL DOCUMENTS (Need help with a ZIP file? Click here.)

About Voting: EXPAND

Note: Any negative vote shall be given consideration by the Subcommittee in accordance with ASTM Regulations. It is expected that negative votes will be considered in conjunction with the next meeting of the Committee, unless handled by ballot or if a Special subcommittee meeting is called. Negative voters may wish to confirm the exact date and time for discussion with the Staff Manager or on the posted meeting schedule on the ASTM website. For a list of all ASTM Committee meetings, click meetings.

For Troubleshooting and Technical Assistance, Click here.

Submit Ballot to ASTM  Save and Return Later  Clear

Affirmative all Items, except as marked below  Abstain all Items, except as marked below

<table>
<thead>
<tr>
<th>Item No.</th>
<th>Sub No.</th>
<th>Item</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td>Revision Of C1433-2016B Specification for Precast Reinforced Concrete Monolithic Box Sections for Culverts, Storm Drains, and Sewers WK63960 PDF (352K) (SEE VOLUME 04.05) TECHNICAL CONTACT: George W. Hodge <a href="mailto:wayne.hodge@inkerpipes.com">wayne.hodge@inkerpipes.com</a> (832) 590-5368</td>
</tr>
</tbody>
</table>
Revision Of C1433-2016B Specification for Precast Reinforced Concrete Monolithic Box Sections for Culverts, Storm Drains, and Sewers WK63960 PDF (232K)
(SEE VOLUME 04.05)
TECHNICAL CONTACT: George W Hodge
wayne.hodge@rinkerpipe.com
(832) 590-5368

Negative with Statement

Negatives are acted upon at a meeting or by ballot. If your negative is handled by ballot, only your written statement will be included. It is important to submit a complete statement identifying the applicable section(s) of the ballot item, the reason(s) for your negative vote, and if possible, alternate wording to satisfy your negative.

Enter Section #

[Text editor]

Enter Statement (no images)
Subcommittee Ballot Results

Ballot Results Summary

➢ Negatives and Comments are posted online within 24 hour

➢ Closing Report includes links to all the comments and negatives after the ballot closes
My Ballots

Committee C13 Ballots

You are an Officer or Technical Contact of the committee and/or subcommittees listed under the 'Ballot Queue' column, below. To access a listing of items that have been submitted for the next ballot, click on the ballot link.

<table>
<thead>
<tr>
<th>Ballot Queue</th>
<th>Committee Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>C13</td>
<td>Concrete Pipe</td>
</tr>
<tr>
<td>C13.02</td>
<td>Reinforced Sewer and Culvert Pipe</td>
</tr>
<tr>
<td>C13.05</td>
<td>Special Projects</td>
</tr>
<tr>
<td>C13.07</td>
<td>Acceptance Specifications and Precast Concrete Box Sections</td>
</tr>
</tbody>
</table>

You are a member of the committee and/or subcommittees listed under the 'Ballot' column, below. To access a complete list of all open ballots, click on 'All Ballots', above.

To Vote: Select a ballot below. You can review the items within it and select options to approve, disapprove or abstain. Click here for information on saving, reviewing and submitting votes, as well as for reviewing closed ballots and the input received on them.

Ballot item documents are not ASTM standards, and shall not be reproduced or recirculated in whole or in part without written authorization from ASTM. Review ASTM’s Working Document Caveat Here.
# C13 Closed Ballots and Closing Reports

To view the ballot items documents, click on the ballot designation link, below. To view a Closing Report (PDF format) for a ballot, depress the adjacent "Closing Report" button. Closed Ballot items are available for e-ballots only.

<table>
<thead>
<tr>
<th>Letter Ballot</th>
<th>Closing Report</th>
<th>Issue Date</th>
<th>Closing Report Posted</th>
</tr>
</thead>
<tbody>
<tr>
<td>C13 (17-07)</td>
<td>WEB VIEW</td>
<td>November 13, 2017</td>
<td>December 15, 2017</td>
</tr>
<tr>
<td>C13 (17-06)</td>
<td>WEB VIEW</td>
<td>August 16, 2017</td>
<td>September 18, 2017</td>
</tr>
<tr>
<td>C13.02 (17-02)</td>
<td>WEB VIEW</td>
<td>July 13, 2017</td>
<td>August 15, 2017</td>
</tr>
<tr>
<td>C13.07 (17-01)</td>
<td>WEB VIEW</td>
<td>July 13, 2017</td>
<td>August 15, 2017</td>
</tr>
<tr>
<td>C13 (17-05)</td>
<td>WEB VIEW</td>
<td>June 22, 2017</td>
<td>July 31, 2017</td>
</tr>
</tbody>
</table>
Closing Report

MyASTM / Ballot Negatives and Comments

Support Desk | Return to List of Ballots | Return to MyCommittees

SUB COMMITTEE BALLOT C13.07 (17-01)
CLOSING DATE: August 15, 2017
Filter Ballot Item(s) View:

All Ballot Items

Go

To print or download individual negative votes and comments click the Item number. Scroll to the bottom of the page to download more than one item at a time. Click here to download all negative votes/comments (PDF file).

Ballot Statistics:

<table>
<thead>
<tr>
<th>Committee</th>
<th>Sent</th>
<th>Returned</th>
<th>% Returned</th>
</tr>
</thead>
<tbody>
<tr>
<td>C13.0700</td>
<td>40</td>
<td>31</td>
<td>77.50%</td>
</tr>
</tbody>
</table>

Click here for information on ballot items without negatives or those with comments only.

ITEM | SUB | ACTION
---|-----|---------------------------------------------
001 | 07  | Revision Of C1786-2016 Specification for Segmental Precast Reinforced Concrete Box Sections for Culverts, Storm Drains, and Sewers Designed According to AASHTO LRFD

TECHNICAL CONTACT:
Margarita Takou

WORK ITEM: WK59589

NEGATIVE VOTERS: (all subcommittee member negatives must be considered)

Steve Dello Russo

COMMENTS:
James A. Nystrom
Negatives

Negative

Ballot Number: C13.07 (17-01) Close Date: AUGUST 14, 2017
Item Number: 001 Revision Of C1786-2016 Specification for Segmental Precast Reinforced Concrete Box Sections for Culverts, Storm Drains, and Sewers Designed According to AASHTO LRFD WKS9589

(SEE VOLUME 4.5)

TECHNICAL CONTACT: Margarita Takou

Member's Name: Steve DelloRusso
Address: 43432 Telegraph Rd

WALNUT CA 90285

Phone Nr: 7603875225 Fax Nr:
Email Address:

File Attachment:

Statement:

Section Statement

In the summary H<2 ft (with traffic perpendicular to span)
Area of box section resisting a truck WHEEL load (not axle)
Clarification needs to be added that there are two wheels (one axle) per loaded lane and depending on the box geometry multiple loaded lanes (i.e. multiple wheels/axles) must be considered. The existing wording is too simplistic. Perhaps a figure is in needed.

regardless the first sentence of X1.2.4 needs to be corrected to be perpendicular and not parallel
Affirmative with Comments

Ballot Number: C13.07 (17-01) Close Date: AUGUST 14, 2017
Item Number: 001 Revision Of C1786-2016 Specification for Segmental Precast Reinforced Concrete Box Sections for Culverts, Storm Drains, and Sewers Designed According to AASHTO LRFD WK59589
(SEE VOLUME 4.5 )
TECHNICAL CONTACT: Margarita Takou

Member's Name: James A Nystrom
Address: 
Phone Nr: Fax Nr:
Email Address: 
File Attachment: 
Statement:

Section Statement

In paragraph X1.2.3, last sentence, the word “consideration” connotes, in my understanding, that the use or value of the MPF is an option. I think a stronger word should be used.
The Three-Step Approach to Handling Negative Votes

1. COMMUNICATION

2. CONSIDERATION

3. DOCUMENTATION
Six Resolutions of a Negative Vote

➢ Persuasive
➢ Withdrawn
➢ Withdrawn with Editorial Change(s)
➢ Not Related
➢ Not Persuasive
➢ Previously Considered
Persuasive

➢ General Agreement

➢ Lack of a “not persuasive” motion

➢ A “not persuasive” motion fails (does not achieve a 2/3 affirmative)

➢ Item is removed from ballot for further consideration
Withdrawn

➢ Withdrawn by the voter

➢ May withdraw negative at any time

➢ Ballot item moves forward
Withdrawn with Editorial Change

- Withdrawn by the voter/agreed as editorial
- Editorial change introduces no change in technical content, but corrects typos or reduces ambiguity

*If editorial changes are involved, please include for next level of balloting*
Not Persuasive and Not Related

- Motion/Second/Rationale

- 2/3 affirmative vote at a meeting or via ballot

- Negatives that are determined Not Related **must** be addressed as items of new business
Previously Considered

➢ Note that the option is not presented.
The Main Committee Ballot
Initiation of Main Committee Ballots

Submittal Requirements:

➢ For items that had Not Persuasive negatives on the subcommittee ballot, you must include the following:

► Name and affiliation of negative voters
► Negative voters statements
► Subcommittee negative resolution including rationale
► Numerical results of the subcommittee ballot

➢ Open for 30 Days

➢ Simultaneous with Society Review
Concurrent Ballots

Balloting Subcommittee & Main Committee simultaneously

➢ Used for revisions, withdrawals, or new standards that have undergone at least one subcommittee ballot

➢ Same requirements as Main Committee Ballot

➢ Simultaneous with Society Review
Qualifications for Valid Main Committee / Concurrent Ballots

- 90% Affirmative of Combined Affirmative & Negative Votes
- 60% Return
- Late Ballot Returns
- Closing Reports
- Final Ballot Results
- Disposition of Negatives
- Affirmative Votes w/ Comments
Society Review

➢ In Tandem with Main Committee Ballot

➢ Notifications posted on ASTM Website

➢ Requirements
  • Disposition of All Negatives
Society (Public) Review

Society (Public) Review of Main Committee Ballot Actions

July 2018

Deadline for Receipt: Must be Received Before August 1, 2018

The items listed are currently being balloted by the corresponding main committee and are submitted here for review. To request copies of proposed new standards and revisions of standards for review and voting purposes only, please email socrev@astm.org and provide the following information:

- Your name
- Your member number
- The designation and title of the main committee of interest (e.g., A04 on Iron Castings)
- The designation of the ballot (e.g., #00-01)
- The specific item(s) (e.g., Item 22)

After receiving the items you've requested, if you would like to comment or vote negative you may submit additional correspondence to ASTM Headquarters. All comments and negatives submitted by Society members will be considered by the originating subcommittee and main committee.

A04 (18-02)
B07 (18-02)
B08 (18-02)
C14 (18-02)
C24 (18-02)
D07 (18-03)
Committee on Standards (COS)

- 9 Member Group Representing ASTM Committees
- Due Process
- Appeals Based on Procedural Matters (NOT Technical)
- COS Ballot Procedures
- Final Approval
Summary

Committee on Standards

Society Review

Main Committee

Subcommittee

Task Group

Final Review and Approval of Procedures

Society Review
NO % Return Required
NO % Affirmative Required

Main Committee
60% Return Required
90% Affirmative Required

Subcommittee
60% Return Required
2/3 Affirmative Required

Task Group
Membership Not Required
Communication/Consideration/Documentation

1. Communication – Meeting agendas, correspondence, direct contact between member & negative voter and other documentation

2. Consideration – Both subcommittee and main committee at a meeting or via ballot

3. Documentation – Online negative resolution tool, minutes, correspondence
Negatives and Comments

**ITEM** | **SUB** | **ACTION**
--- | --- | ---
001 | 02 | Revision Of C0076-2018 Specification for Reinforced Concrete Culvert, Storm Drain, and Sewer Pipe

**TECHNICAL CONTACT:**
Ryan Pelter

**WORK ITEM:** WK60751

**NEGATIVE VOTERS:** (all ASTM member negatives must be considered)

- New! Timothy J McGrath
- New! William E Washabaugh

**COMMENTS:**
- New! Tyson H Hicks

![enter_disposition]
Resolution Options

MAIN COMMITTEE BALLOT C13 (17-07)
ITEM 001 - REVISION OF C0076-2016

Submit disposition of Timothy J McGrath negative vote. Click here to view negative.

- FAIL - (Item failed percent affirmative requirement)
- REMOVED FOR REBALLOT (Item removed from ballot by TC and SC - Attach next ballot file required)
  Choose File No file chosen
- PERSUASIVE - (Any part of the negative vote was found persuasive; Item removed from ballot)
- WITHDRAWN (Entire negative vote was withdrawn without editorial changes)
- WITHDRAWN WITH EDITORIAL CHANGES (Entire negative vote was withdrawn with editorial changes)
  Clearly distinguish editorial changes from the ballot item using "track changes" or provide in a separate list format (attach below). Please do not provide a clean copy of the document. Submission may be made in separate document.
  Choose File No file chosen
- NOT PERSUASIVE (Entire negative vote was found not persuasive or there is a combination of not persuasive and not related or withdrawn dispositions)
- NOT RELATED (Entire negative vote was found not related or there is a combination of not related and withdrawn dispositions)

Clear Resolution  Accept Resolution  Cancel
Not Persuasive Ruling

MAIN COMMITTEE BALLOT C13 (17-07)
ITEM 001 - REVISION OF C0076-2016

Submit Disposition of Timothy J McGrath negative vote. Click here to view Negative Vote.

Subcommittee Meeting Date:  
Main Committee Meeting Date:  
Or Via 
Or Via
Ballot - enter data as in MCS, e.g. F150109 Item - enter using three places, e.g. 001
If there are multiple resolutions by ballot indicate the ballot item number in the ruling rationale.

<table>
<thead>
<tr>
<th>Ballot:</th>
<th>Item:</th>
<th>Ballot:</th>
<th>Item:</th>
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<table>
<thead>
<tr>
<th>Ruling</th>
<th>Ruling Rationale</th>
<th>Subcommittee Vote Count</th>
<th>Main Committee Vote Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>For</td>
<td>Against</td>
<td>Abs</td>
<td>For</td>
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</tbody>
</table>

1. *Choose Ruling* ▼ * Enter Rationale * Edit

Add Next Resolution

Save and Return Later  Submit Resolution  Cancel
Helpful Hints

➢ Ballot controversial sections individually

➢ Use good judgment when balloting sections separately

➢ Provide clear and informative cover letter for ballot item(s)

➢ Try to contact the negative voter before the meeting
More Hints

➢ Address each portion of the negative vote

➢ Provide editorially revised document when resolving a negative vote as withdrawn with editorial changes

➢ Comments are intended for editorial issues or technical items of new business
Resources

➢ ASTM Regulations –

http://www.astm.org/COMMIT/Regs.pdf
Thank you for your attention!